| TO A NOMET A L  |  | Applicati n Number  | 09/976,368  |  |  |
|---|--|---|---|--|--|
| TRANSMITTAL   |  | Filing Dat  | October 12, 2001  |  |  |
| FORM  |  | First Named Invent r  | Collazo, Carlos M.  |  |  |
| (to be used for all correspondence after in   | itial filing)  | Group Art Unit  | 2121  |  |  |
|   |  | Examiner Name   |   |  |  |
| otal Number of Pages in This Submission   | 4+3 refs   | Attorney Docket Number                                      | 020897-000110US   |  |  |
|   | ENCL   | OSURES (check all that apply                                |   |  |  |
| Fee Transmittal Form  | Assign   |   | After Allowance Communication to Group  |  |  |
| Fee Attached  | ☐ Drawing(s)   |   | Appeal Communication to Board of Appeals and Interferences  |  |  |
| Amendment / Response  | Amendment / Response Licensing-r                               |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)   |  |  |
| After Final   | and Ad   | n Routing Slip (PTO/SB/69)<br>ecompanying Petition          | Proprietary Information   |  |  |
| Affidavits/declaration(s)   |  | n to Convert to a<br>ional Application                      | Status Letter   |  |  |
| Extension of Time Request   | Power of Attorney, Revocation Change of Correspondence Address |   | ☐ Status Letter  ☐ Other Enclosure(s) (please identify below):  PTO/SB/08A, 3 references, Return Postcard |  |  |
| Express Abandonment Request   | xpress Abandonment Request                                     |   | PTO/SB/08A, 3 references, Return<br>Postcard  |  |  |
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| Certified Copy of Priority Document(s)  | Rema   |   | is authorized to charge any additional fees to  |  |  |
| Response to Missing Parts/ Incomplete Application                                       |  |   |   |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53                                     |  |   |   |  |  |
| SIGNA   | TURE OF  | APPLICANT, ATTORNEY,  | OR AGENT  |  |  |
| Firm Townsend and Tow   |  |   |   |  |  |
| and Charles J. Kulas  | Kulas Reg. No. 35,809  |   |   |  |  |
| Signature Le Le Leuloc  |  |   |   |  |  |
| Date /- 3-0/  |  |   |   |  |  |
|   | CE   | RTIFICATE OF MAILING  |   |  |  |
| I hereby certify that this correspondence is class mail in an envelope addressed to: As | s being depo<br>ssistant Com                                   | sited with the United States Pomissioner for Patents, Washi | ostal Service with sufficient postage as first agton, D.C. 20231 on this date:                            |  |  |

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Attorney Docket No.: 020897-000110US

Assistant Commissioner for Patents Washington, D.C. 20231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFI

Examiner:

Art Unit:

2121

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

In re application of:

Carlos M. Collazo

Application No.: 09/976,368

Filed: October 12, 2001

For: SYSTEM-WIDE OPTIMIZATION

INTEGRATION MODEL

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Carlos M. Collazo

Application No.: 09/976,368

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Charles J. Kulas

Reg. No. 35,809

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

| Application Number   | 09/976,368         |
|----------------------|--------------------|
| Filing Date          | October 12, 2001   |
| First Named Inventor | Collazo, Carlos M. |
| Group Art Unit       | 2121               |
| Examiner Name        |                    |

C mplete if Known

Attorney Docket Number 020897-000110US

|                        |              |   | U.S. PATENT DOCUM                               | MENTS                                 |  |
|------------------------|--------------|---|---|---------------------------------------|--|
| Examiner<br>Initials * | Cite<br>No.1 | U.S. Patent Document  Number Kind Code²  (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                        | AA           | 6,088,330   | Bruck, et al.                                   | 07-11-2000                            |  |
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|                        | AC           | 6,185,619 B1  | Joffe, et al.                                   | 02-06-2001                            |  |
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| FOREIGN PATENT DOCUMENTS             |                     |   |  |   |  |  |                |  |
|--------------------------------------|---------------------|---|--|---|--|--|----------------|--|
| in                                   | Cita                | Foreign Patent Document                       |  | Name of Patentee<br>or Applicant of<br>Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |  |
| Examiner   Cite   No.1   Office3   N | Number <sup>4</sup> | Kind Code <sup>5</sup><br>( <i>if known</i> ) |  |   |  |  |                |  |
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<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.